

2-6-06
AMENDMENT TRANSMITTAL

PATENT APPLICATIONS IFW \$

CASE DOCKET NO. 5107-4

IN RE THE APPLICATION OF: DONNELLY et al.

SERIAL NO: 10/650,011

DATE FILED: August 26, 2003

FOR: "METHOD FOR MONITORING AND CONTROLLING LOCOMOTIVES"

MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

TRANSMITTED HERewith IS AN AMENDMENT IN THE ABOVE-IDENTIFIED APPLICATION

- ☒ [X] SMALL ENTITY STATUS OF THIS APPLICATION UNDER 37 CFR 1.9 AND 1.27 HAS BEEN ESTABLISHED BY A VERIFIED STATEMENT PREVIOUSLY SUBMITTED.
- ☐ [] A VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS UNDER 37 CFR 1.9 AND 1.27 IS ENCLOSED.
- ☐ [] NO ADDITIONAL FEE IS REQUIRED.

THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

| | (COL. 1) CLAIMS REMAINING AFTER AMENDMENT | (COL. 2) HIGHEST NO. PREVIOUSLY PAID FOR | (COL. 3) PRESENT EXTRA | SMALL ENTITY | | | LARGE ENTITY | |
|-----------------------------------------------------|-------------------------------------------------------|---------------------------------------------------|------------------------------|--------------|-------------------|----|--------------|-------------------|
| | | | | RATE | ADDITIONAL FEE | | RATE | ADDITIONAL FEE |
| TOTAL: | * 56 | - ** 48 | 8 | X \$25 = | \$200.00 | OR | X \$50 = | |
| INDEP: | 11 | - *** 11 | 0 | X \$100 = | \$0.00 | OR | X \$200 = | \$0.00 |
| ___ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS | | | | + \$180 = | | OR | + \$360 = | |
| ADDITIONAL FEES | | | | TOTAL: | \$200.00 | OR | TOTAL: | |

*IF THE ENTRY IN COL. 1 IS LESS THAN THE ENTRY IN COL. 2, WRITE "0" IN COL. 3.

**IF THE "HIGHEST NUMBER PREVIOUSLY PAID FOR" IN THIS SPACE IS LESS THAN 20, WRITE "20" IN THIS SPACE.

***IF THE "HIGHEST NUMBER PREVIOUSLY PAID FOR" IN THIS SPACE IS LESS THAN 3, WRITE "3" IN THIS SPACE.

THE "HIGHEST NUMBER PREVIOUSLY PAID FOR" (TOTAL OR INDEPENDENT) IS THE HIGHEST NUMBER FOUND FROM THE EQUIVALENT BOX IN COL. 1 OF A PRIOR AMENDMENT OR THE NUMBER OF CLAIMS ORIGINALLY FILED.

- ☐ [] PLEASE CHARGE DEPOSIT ACCOUNT NO. 19-1970 IN THE AMOUNT OF \$____. A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.
- ☒ [X] A CHECK IN THE AMOUNT OF \$ 200 TO COVER THE FEE IS ENCLOSED.
- ☒ [X] THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE PAYMENT OF THE FOLLOWING FEES ASSOCIATED WITH THIS COMMUNICATION OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT NO. 19-1970. A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.
- ☒ [X] ANY FILING FEES UNDER 37 CFR 1.16 FOR THE PRESENTATION OF EXTRA CLAIMS.
- ☒ [X] ANY PATENT APPLICATION PROCESSING FEES UNDER 37 CFR 1.17.

RESPECTFULLY SUBMITTED,

SHERIDAN ROSS P.C.

Matthew R. Ellsworth
Registration No. 56,345



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No. : 10/650,011
Applicant : DONNELLY et al.
Filed: : August 26, 2003
TC/A.U. : 3617
Examiner: : ROBERT J. McCARRY, JR.
Docket No. : 5107-4
Customer No. : 22442
Title: : "METHOD FOR MONITORING AND CONTROLLING
LOCOMOTIVES"

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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DATE OF DEPOSIT: 2/2/06

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING
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1450, ALEXANDRIA, VA 22313-1450.

TYPED OR PRINTED NAME: Tricia Van Hooser

SIGNATURE: *Tricia Van Hooser*

SUPPLEMENTAL AMENDMENT

Dear Sir:

Prior to the initial review of the above-identified patent application by the Examiner, please enter the following Preliminary Amendment. Authorization is given to charge Deposit Account No. 19-1970 in the amount of \$200 for the small entity fee for adding eight claims in addition to those previously paid for. Please charge any underpayment or credit any overpayment to Deposit Account 19-1970.

Please amend the above-identified patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 19 of this paper.

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